

10-19-01

A

10/17/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> Form for new nonprovisional applications under 37 CFR 1.53(b)	Attorney Docket No.	30014200-1009
	First Named Inventor or Application Identifier	
	Joerg Jahnke	
Express Mail Label No: EL834557205US		

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

11050 U.S. PTO  
 09/982070  
 10/17/01

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Specification Total Pages <u>51</u> 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) Total Pages <u>8</u> 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney Total Pages <u>3</u> a. <input type="checkbox"/> Unexecuted(original or copy) b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) (for continuation/divisional with Box 14 completed) [Note Box 4 Below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	5. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documentation) <u>Sun Microsystems, Inc.</u> 6. <input type="checkbox"/> Letter under 37 CFR 1.41(c). 7. <input type="checkbox"/> English Translation Document (if applicable) 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 9. <input type="checkbox"/> Preliminary Amendment 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Status still proper and desired 12. <input type="checkbox"/>

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED					
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
					\$740.00
TOTAL CLAIMS		64	44	\$18.00	792.00
INDEPENDENT CLAIMS		14	11	84.00	924.00
	ANY MULTIPLE DEPENDENT CLAIMS?			270.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
	TOTAL FEE				\$2,456.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$2,456.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
 P.O. Box #061080  
 Wacker Drive Station - Sears Tower  
 Chicago, IL 60606  
 Telephone 312/876-8000  
 Customer ID #26263

SIGNATURE Christopher P. Rauch  
 Christopher P. Rauch (Reg. No. 45,034)

Date: October 17, 2001

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 30014200-1009

First Named Inventor or Application Identifier

Joerg Jahnke

Express Mail Label No: EL834557205US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 51
2. ☒ Drawing(s) (35USC 113) Total Pages 8
3. ☒ Declaration and Power of Attorney Total Pages 3
  - a. ☐ Unexecuted(original or copy)
  - b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
  - i. ☐ [Note Box 4 Below]  
**DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
Sun Microsystems, Inc.
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☐

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
TOTAL CLAIMS		64	44	\$18.00	792.00
INDEPENDENT CLAIMS		14	11	84.00	924.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		270.00	0.00
				TOTAL FEE	\$2,456.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$2,456.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
Telephone 312/876-8000  
Customer ID #26263

SIGNATURE

*Joerg Jahnke*

Date: October 17, 2001

EXPRESS MAIL #EL834557205US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834557205US

Date of Deposit: October 17, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231.

Applicant(s): Joerg Jahnke

Attorney Docket No. 30014200-1009

Executed Declaration

Executed Assignment, Form PTO 1595 and \$40.00 recording fee

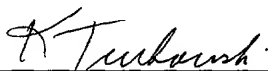
Utility Patent Application Transmittal Form (and one copy)

Specification (51 pages)

Drawings (8 sheets - Figs. 1-11)

\$2,456.00 filing fee (64 claims - 14 independent)

Return postcard



Signature of Person Mailing Application and Fees